SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Form Approved:
OMB No. 3209-0001

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

| | | | | | |
|---|---|---|---|---|---|
| Date of Appointment, Candidacy, Election, or Nomination (*Month, Day, Year*): May 6, 2009 | Reporting Status (*Check appropriate boxes*): ☐ Incumbent; Calendar Year Covered by Report: 2010 | ☐ New Entrant, Nominee, or Candidate | ☒ Termination Filer | Termination Date (*If Applicable*) (*Month, Day, Year*): November 6, 2010 | |
| Reporting Individual's Name | Last Name: Krueger | | First Name and Middle Initial: Alan B. | | |
| Position for Which Filing | Title of Position: Assistant Secretary for Economic Policy | | Department or Agency (*If Applicable*): Treasury | | |
| Location of Present Office (or forwarding address) | Address (*Number, Street, City, State, and Zip Code*): 1500 Pennsylvania Ave., NW, Office 3445, Washington, D.C., 20220 (forwarding address on file.) | | | Telephone No. (*Include Area Code*): 202-622-2200 | |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) | Title of Position(s) and Date(s) Held: | | | | |
| Presidential Nominees Subject to Senate Confirmation | Name of Congressional Committee Considering Nomination: | | Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No | | |
| Certification: I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. | Signature of Reporting Individual: Alan Krueger | | | Date (*Month, Day, Year*): December 1, 2010 | |
| Other Review (If desired by agency) | Signature of Other Reviewer: | | | Date (*Month, Day, Year*): 12/14/10 | |
| Agency Ethics Official's Opinion: On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below). | Signature of Designated Agency Ethics Official/Reviewing Official: Elizabeth Cooper | | | Date (*Month, Day, Year*): 12/20/10 | |
| Office of Government Ethics Use Only | Signature: [illegible] for | | | Date (*Month, Day, Year*): 3/17/11 | |

Comments of Reviewing Officials (*If additional space is required use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* _____) ☐

Page 7 line 5: Should have been reported on previous report (not a new purchase).
Page 7, line 6: Not a new purchase; just exceeded reporting threshold.

(*Check box if comments are continued on the reverse side*) ☐

**Fee for Late Filing**
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

**Reporting Periods**
**Incumbents:** The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

**Termination Filers:** The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

**Nominees, New Entrants and Candidates for President and Vice President:**

**Schedule A**--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

**Schedule B**--Not applicable.

**Schedule C, Part I** (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

**Schedule C, Part II** (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

**Schedule D**--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

**Agency Use Only**
12/3/10

**OGE Use Only**
rec'd 1-4-11 SDN

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Reporting Individual's Name: Alan B. Krueger

# SCHEDULE A

Page Number: 2

**Assets and Income** — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples | Central Airlines Common | | | | x | | | | | | | | | | | | x | | | | | | x | | | | | | | | | | |
| | Doe Jones & Smith, Hometown, State | | | x | | | | | | | | | | | | | | | | | | | | | | | | | | | | (see Partnership Income $150,000) | |
| | Kempstone Equity Fund | | | | | x | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| | IRA, Heartland 500 Index Fund | | | | | | | x | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 1 | TIAA Traditional Annuity | | | | | x | | | | | | | | | | | | | x | | | | | | x | | | | | | | | |
| 2 | CREF Stock | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | CREF Global Equities | | | | | x | | | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 4 | CREF Growth | | x | | | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 5 | CREF Equity Index | | | | | | x | | | | | | | x | | | | | | | | | | | | x | | | | | | | |
| 6 | TIAA Real Estate | | x | | | | | | | | | | | | | | | x | | x | | x | | | | | | | | | | | |

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Prior Editions Cannot be Used.

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Reporting Individual's Name: Alan B. Krueger

# SCHEDULE A continued
(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income**: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | CREF Inflation Linked Bonds | | | | | x | | | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 2 | TIAA-CREF Mutual Fund: Equity Index TINRX | | | | x | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | TIAA-CREF Mutual Fund: International Equity Index TIERX | | | | | x | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 4 | TIAA-CREF Mutual Fund: Midcap Value Fund TCMVX | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 5 | TIAA-CREF Mutual Fund: Money Market Fund TIRXX | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | TIAA-CREF Mutual Fund: Small Cap Equity TCSEX | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 7 | TIAA-CREF Mutual Fund: Tax Exempt Bond TIXRX | | | | x | | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| 8 | IRA: Vanguard Global Equity Fund | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 9 | SEP-IRA: Vanguard Value Index Fund | | | | x | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |

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Reporting Individual's Name: Alan B. Krueger

# SCHEDULE A continued
(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income**: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | SEP-IRA: Vanguard Total International Stock Index Fund (VGTSX) | | | | | x | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 2 | SEP-IRA: Vanguard Inflation-Protected Securities Fund (VIPSX) | | | | x | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 3 | SEP-IRA: Vanguard Prime Money Money Fund | | x | | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 4 | SEP-IRA: Alliance Bernstein Value Fund Class C (ABVCX) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 5 | SEP-IRA: Equity Income Fund Unit 2nd S&P 500 Index Series (CUSIP: 294700422) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 6 | This line intentionally left blank. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | Vanguard Prime Money Market Fund | x | | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 8 | Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX) | | | | | x | | | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 9 | Vanguard Total International Stock Index Fund (VGTSX) | | | | x | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |

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# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| | Assets and Income (BLOCK A) | None (or less than $1,001)* | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Vanguard Value Index Fund Admiral Shares (VVIAX) | | | | x | | | | | | | | | x | | | | | | | | | x | | | | | | | | | | |
| 2 | PNC Bank Business Checking Account | | | | x | | | | | | | | | | | | | | x | | | x | | | | | | | | | | | |
| 3 | PNC Checking Account | | x | | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 4 | PNC Premium Money Market Account | | x | | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 5 | Merrill Lynch: PIMCO Real Return Fund (PRTNX) (Spouse's 403b) | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 6 | Merrill Lynch Alliance Bernstein Value Fund (ABVCX) (Spouse and Alan Krueger) | | | | x | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 7 | ML Alliance Bernstein Growth Fund (CABDX) (Spouse and Alan Krueger) | | | | x | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 8 | ML Bank Deposit (Spouse and Alan Krueger) | | | x | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | | |
| 9 | ML Alliance Bernstein Value Fund (ABVCX) (Child) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |

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Reporting Individual's Name: Alan B. Krueger

# SCHEDULE A continued
(Use only if needed)

Page Number: 6

| Assets and Income (BLOCK A) | Valuation of Assets at close of reporting period (BLOCK B) | | | | | | | | | | | | | | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | | | Type | | | | Amount | | | | | | | | | | | |
| None ☐ | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
| 1 ML Alliance Bernstein Growth Fund (CABDX) (Child) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | |
| 2 ML American Euro Pacific (Class A) (AEPGX) (Child) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | |
| 3 ML Bank Deposit (Child) | | x | | | | | | | | | | | | | | | | x | | x | | | | | | | | | | | |
| 4 ML Blackrock Basic Fund (MDBAX) (Child) | | x | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | |
| 5 This line intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 ML Alliance Bernstein Value Fund (ABVCX) (Spouse 403b) | | | x | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | |
| 7 ML American Euro Pacific (Class A,C) (AEPGX, AEPCX) (Spouse 403b) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | |
| 8 ML Blackrock Index Fund (CIECX) (Spouse 403b) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | |
| 9 TIAA-CREF Stock (Spouse retirement account) | | x | | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | |

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# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income**: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | TIAA-CREF Global Equities (Spouse retirement) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 2 | IRA: Vanguard Prime Money Mkt Fund (Spouse) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 3 | PNC Bank Savings Account (Child) | | x | | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 4 | PNC Bank Checking Account (Child) | | x | | | | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 5 | IRA: Vanguard Inflation Protected Securities (Spouse) | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | |
| 6 | IRA: Alliance Bernstein Value Fund Class C held in Vanguard (Spouse) | | x | | | | | | | | | | | x | | | | | | | x | | | | | | | | | | | | |
| 7 | "Explorations in Economics" textbook MacMillan/Worth Publishers | | | Royalties: value unascertainable | | | | | | | | | | | | | | x | | | x | | | | | | | | | | | | |
| 8 | "Explorations in Economics" textbook MacMillan/Worth Publishers Portion of advance still due | | | | | x | | | | | | | | | | | | | | | x | | | | | | | | | | | | |
| 9 | This line intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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# SCHEDULE A continued
(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Thrift Savings Plan - G Fund | | | x | | | | | | | | | | | | | | | x | | | | x | | | | | | | | | | |
| 2 | Princeton University Press | | | | | Additional royalties: value unascertainable | | | | | | | | | | | | x | | | | x | | | | | | | | | | | |
| 3 | This line intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | This line intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | This line intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | Department of the Treasury | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Employment Income $126,666 | |
| 7 | Spouse Employment: Princeton Regional High School | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Salary | |
| 8 | New York Times syndication sales | | | | | | | | | | | | | | | | | x | | | | | x | | | | | | | | | | |
| 9 | New York Times Future syndication sales | | | | | value unascertainable | | | | | | | | | | | | x | | | x | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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**Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate**

Reporting Individual's Name

# SCHEDULE B



## Part I: Transactions

None [ ]

Report any purchase, sale, or exchange by you, your spouse or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Example: Central Airlines Common | X | | | 2/1/99 | | | X | | | | | | | | | |
| 1 | SEP-IRA Vanguard Total Int'l Stock Index | X | | | 3/15/10 | | X | | | | | | | | | | |
| 2 | SEP-IRA Vanguard Inflation-Protected Securities Fund | X | | | 3/15/10 | | X | | | | | | | | | | |
| 3 | SEP-IRA Vanguard Value Index Fund | X | | | 3/15/10 | | X | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

## Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government, given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None [X]

| | Source (Name and Address) | Brief Description | Value |
|---|---|---|---|
| | Examples: Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) | $500 |
| | Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | $300 |
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

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Reporting Individual's Name: Alan B. Krueger

# SCHEDULE C



## Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [X]

| | Creditors (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Category of Amount or Value (x): $10,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples: | First District Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs. | | | | | | | | | | | |
| | John Jones, 123 J St., Washington, DC* | Promissory note | 1999 | 10% | on demand | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

## Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None [ ]

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|---|---|---|---|
| Example: | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 |
| 1 | Unpaid leave of absence from Princeton University. I have requested a public service leave of one year. An additional period of unpaid public service leave can be requested in the future. All faculty are eligible for public service leave. | Princeton University, Princeton, NJ | 1/09 |
| 2 | I have qualified for tuition assistance plan for dependent children from Princeton University. Approximately $12,500 will be contributed per year per child for college tuition per year for up to 4 years. All faculty on unpaid leave are eligible for this benefit. | Princeton University, Princeton, NJ | 1/09 |
| 3 | This line intentionally left blank. | | |
| 4 | I have an equity sharing agreement with Princeton University for my house. Princeton owns one-third of my house and receives one-third of the proceeds when I sell it. All faculty on unpaid leave are eligible for this benefit. | Princeton University, Princeton NJ | 7/93 |
| 5 | | | |
| 6 | | | |

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| Reporting Individual's Name | SCHEDULE D | Page Number |
|---|---|---|
| Alan B. Krueger | | 11 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Princeton University, Princeton, NJ | Higher Education | Professor | 7/87 | 5/09 (on leave) |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |

Prior Editions Cannot Be Used.